

## 1 Abstract:

This document is aimed at providing 13.56 MHz RFID systems designers with a practical cookbook on how to optimize RFID systems and antennas. A thorough analysis of the most important RFID system parameters is presented. The emphasis is placed on physical concepts, rather than on lengthy theoretical calculations.

## 2 Antenna ? You said Antenna ?

In the wireless world, antennas are used to transmit radio frequency energy from location A to location B in the most efficient manner. That is they do radiate the power that is fed to them. If we were dealing with UHF RFID systems, this hypothesis would be true. However, at 13.56MHz, the picture is quite different.

The wavelength in free space at 13.56 MHz is  $\lambda = \frac{300}{13.56} = 22.12$  meters.

A standard ground plane antenna has a length of one quarter of the wavelength which is 5.53 meters. It has a radiation resistance close to 50 ohms. In the world of 13.56 MHz RFID systems, we are unlikely to come close to such dimensions. And even if we do, the amount of radiated power will remain quite small. Let us consider an example. Say that we have a loop antenna and that its area is one square meter.

The radiation resistance is given by:  $RR \approx 31200 \times \left(\frac{A}{\lambda^2}\right)^2$ . In our case, this yields  $RR=130$  milliohms

and we probably have used 4 meters of wire to construct the loop, assuming a square shape... So, if we do not radiate energy, how do we transfer it to the tag we intend to communicate with ?

The answer is magnetic coupling. Some people refer to RFID base stations as “couplers”. This terminology is certainly quite appropriate in our case. We have indeed to consider the RFID system, antenna plus tag, as a loosely coupled transformer, with the base station antenna acting as the primary of this transformer. This concept is of paramount importance for the system designer. One must always remember it. The tag AND the base station “antenna” constitute THE system, and cannot be studied separately.

The other point to remember is that if we feed five Watts to a loop RFID “antenna”, these five Watts, being NOT radiated, will have to be dissipated somewhere...

## 3 Coupled circuits: a journey to Hell

In the preceding paragraph, we surreptitiously introduced another important, to say the least, concept. We said that we had a loosely coupled transformer. The complete theory of coupled circuits is beyond the scope of this document. It involves quite lengthy calculations, but we can somewhat alleviate this burden thanks to the use of a circuit simulator like SPICE. Now, we must define the characters, and assign a role to each of them.

The first character is our base station antenna. In order to maximize the communication range with the tag, we must create the strongest possible magnetic field, so that the tag will be able to pick up enough power in order to energize itself. Since the magnetic field from the loop is proportional to the current flowing through the conductor that actually constitutes the loop, we have to maximize this current.

The second character is the tag. The tag wants to be able to collect in as much energy as possible from the ambient magnetic field generated by the base station loop antenna. We must maximize this energy gathering capacity.

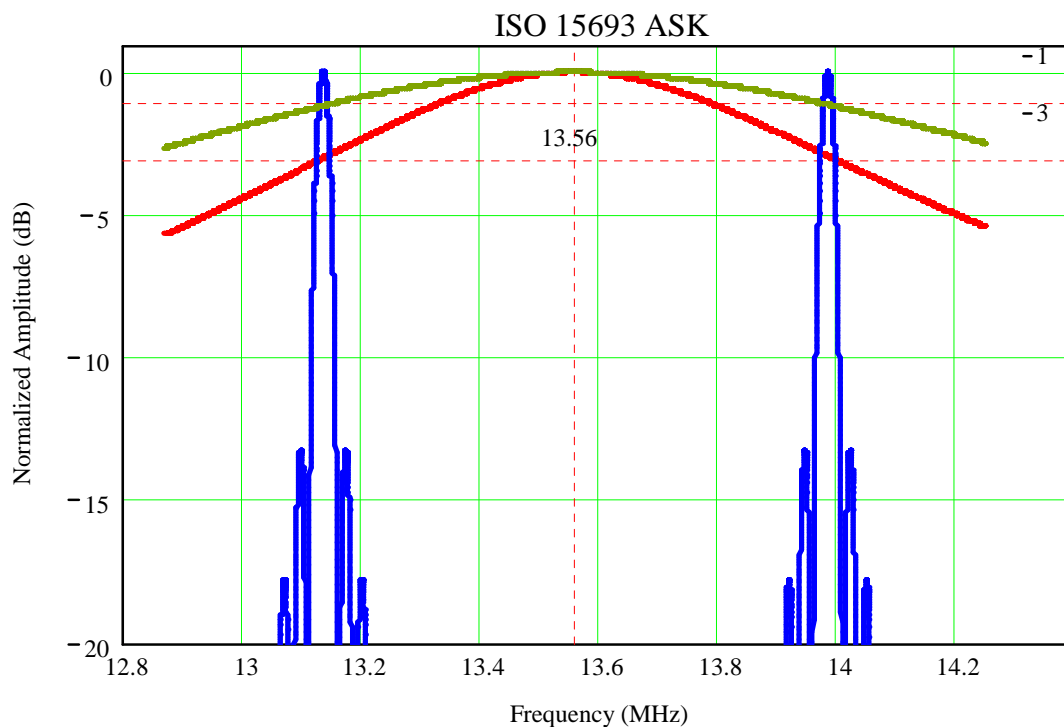
These goals can be achieved in various ways. However, the next paragraphs will show that the art of RFID system design requires a careful understanding of the pitfalls and conflicts that will inevitably arise. Our characters are not team players. More often than not they are unfair to each other.

### 3.1 The Q conflict:

From our base station antenna point of view, there is only one way to have a strong current flowing through the conductors: the loop has to be tuned and made resonant. The same holds true for the tag. And here comes the first problem. If we had no data to transmit back and forth, we could increase the Q factor of both devices up to the tolerances or the components used. This would be the best way on both sides to fulfil our characters requirements. However, if we design a system to be compliant with the ISO 15693 standard, we have a sub carrier at 423 KHz, possibly ON/OFF keyed if we use the single sub carrier modulation at a data rate of 27 kBits/sec. For the ISO 14443 standard, the sub carrier is at 847 kHz, and the data rate is 106 kBits/sec. A tuned circuit acts as a band pass filter. We must leave enough bandwidth for the sub carrier and its modulation side bands.

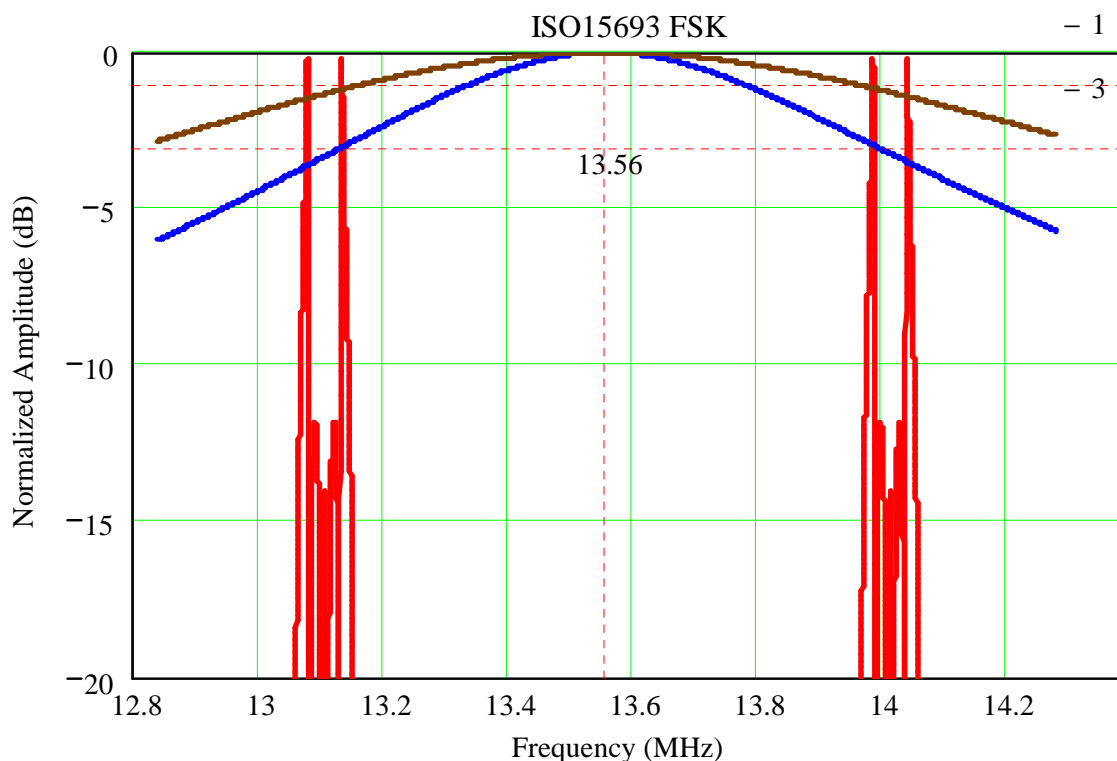
#### 3.1.1 Minimum bandwidth requirements from the tag point of view:

Let us take a look at how the tag modulation sees the tag antenna. In most cases, a tag can be modelled as a parallel resonant circuit (first order approximation). The modulation spectrum can be approximated quite accurately. On the following graph, we have represented the tag frequency response for a Q value of 12 (deep green curve), along with the ISO15693 single sub carrier /ASK power spectrum for a pseudo random bit sequence. We can see that the tag modulation is attenuated by about 1 dB, which is acceptable.



However, if we take a tag with a Q factor of about 16 (red curve), we find that the modulation peaks are attenuated by 3dB. And this is a limit that should not be trespassed otherwise the communication range between the base station and the tag could be severely affected. In practice, the optimal Q value will be chosen between 9 and 16, depending on other system constraints that we are going to analyze later.

The calculation of the modulation power spectrum is more complicated in the FSK double sub carrier case. However, it yields essentially the same results, as can be seen on the following graph.



For the ISO 1443 standard, the modulation power spectrum is similar to an ASK spectrum for part A and part B. The only difference is the sub carrier frequency which is higher. This will of course change the Q requirement for the tag, it will have to be lower. The tag optimum Q will range from 4 to 9.

We can now define a set of rules for the minimum bandwidth requirements, as seen from the tag point of view:

$$BW = FTOL + FSUB + \text{DataRate} \quad (\text{for single sub carrier / ASK mode})$$

$$BW = FTOL + FSUB + \text{DataRate} \quad (\text{for double sub carrier FSK, or BPSK mode})$$

Where: BW is the minimum bandwidth, that is  $fc/2 \times Q$ ,  $fc = 13.56$  MHz.  
 FTOL is the frequency tolerance of the tuned circuit.  
 FSUB is the sub carrier frequency.

And we must have 
$$Q \leq \frac{fc}{2 \times BW}$$

### 3.1.2 Minimum bandwidth requirements from the base station antenna point of view:

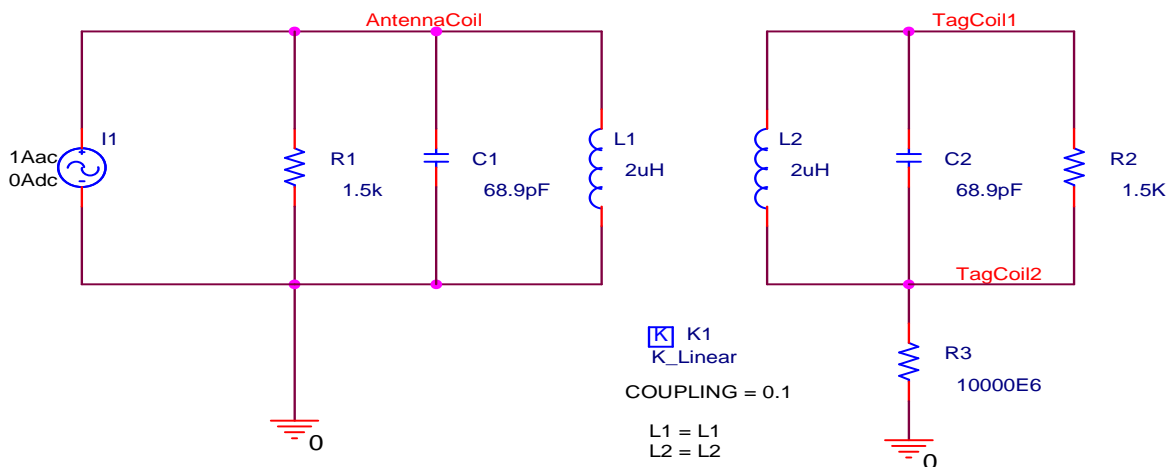
The base station antenna point of view is exactly the same as the tag antenna point of view. In fact, the base station antenna must have enough bandwidth to recover the tag modulation. The base station sends commands to the tag by direct modulation of the 13.56 MHz carrier. The protocol does not use a sub carrier for the base station to tag communications, and for both ISO standards, the data rates and modulation techniques used yield spectrums that have much smaller bandwidths than the tag. Therefore, for all practical purposes, we can say that the minimum system bandwidth requirements are set by the tag modulation spectrum. However, we must emphasize again that this assertion is only valid when the tag and the base station antenna are loosely coupled.

One should also consider these bandwidth requirements *only* as a good starting point, and *always* remember that only experimental results should say the final word. For instance, it might be possible that designing a base station antenna with a slightly higher Q than what theory suggests will increase the reading range, because the magnetic field strength improvement will outweigh the reduction in the tag backscatter signal strength.

Now, we must brace ourselves for a journey to Hell...

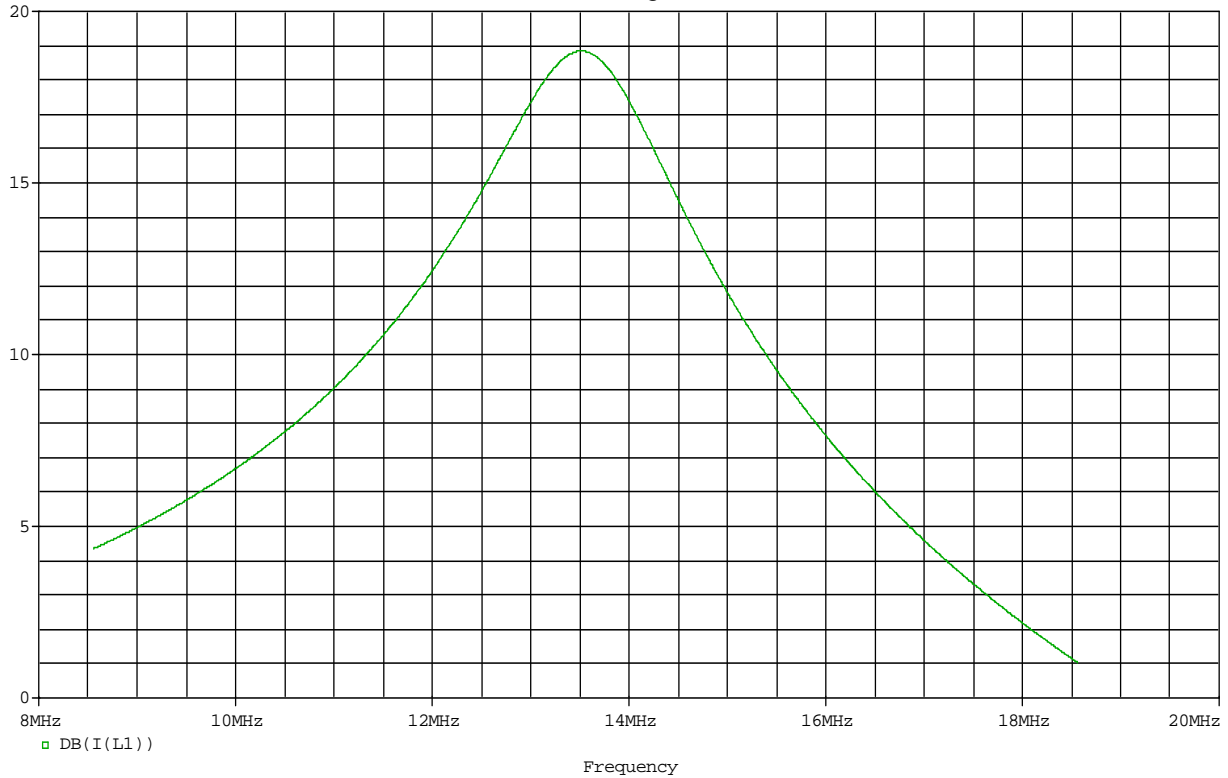
### 3.2 The Devil, AKA the coupling factor k:

We must now lift the veil on the loosely coupled transformer hypothesis. The minimum bandwidth requirements are valid **ONLY** if the coupling factor between the tag and the base station antenna is kept **LOW**. Let us take a look at some simulation results that show the Devil at work, using the following schematic:

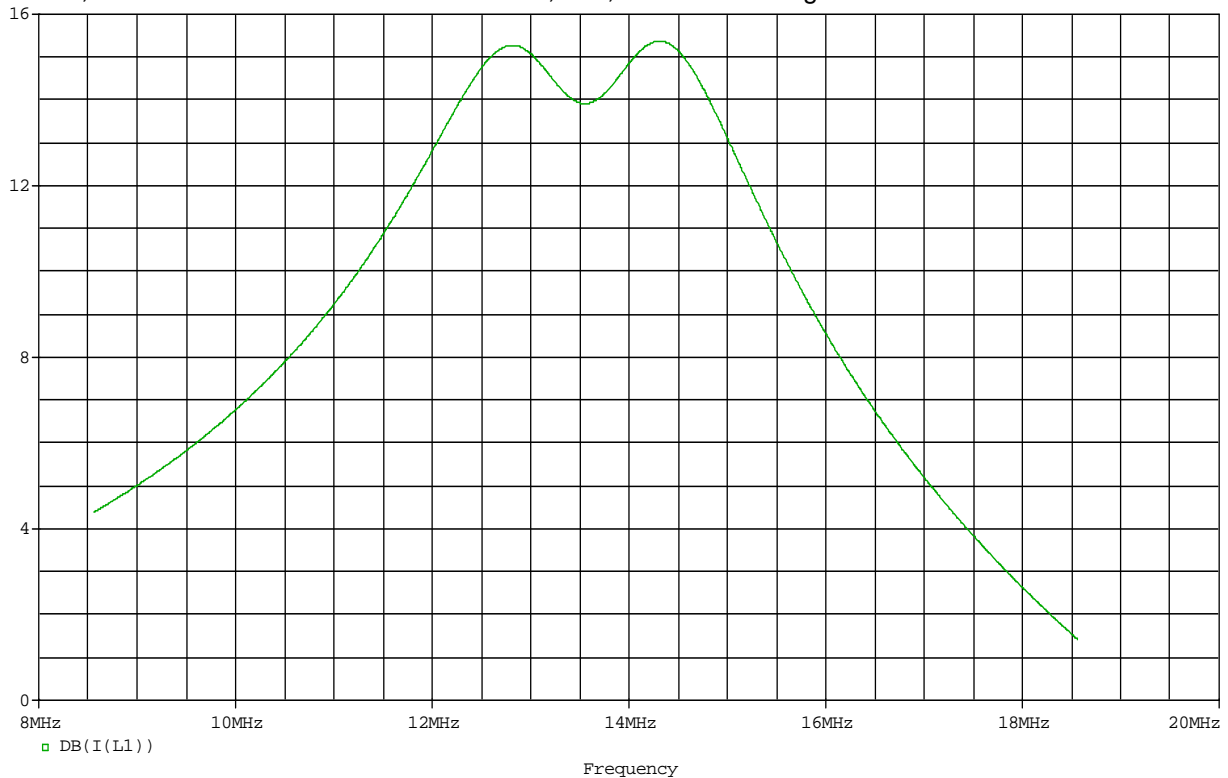


On the left, we have a model of a base station antenna. On the right, we have a model of a tag. For the sake of simplicity, both devices are identical, and the base station antenna is, for the time being, driven by a perfect current source that will not change its intrinsic properties. Since we are dealing with a magnetic coupling problem and that we remember that the magnetic field induced by a coil is proportional to the current flowing through it, we shall visualize this current. Both the antenna and tag are tuned to 13.56 MHz. In the middle, we have introduced the Devil, impersonated as a linear coupling factor  $k=0.1$ . The Q factor of both devices is equal to 9.

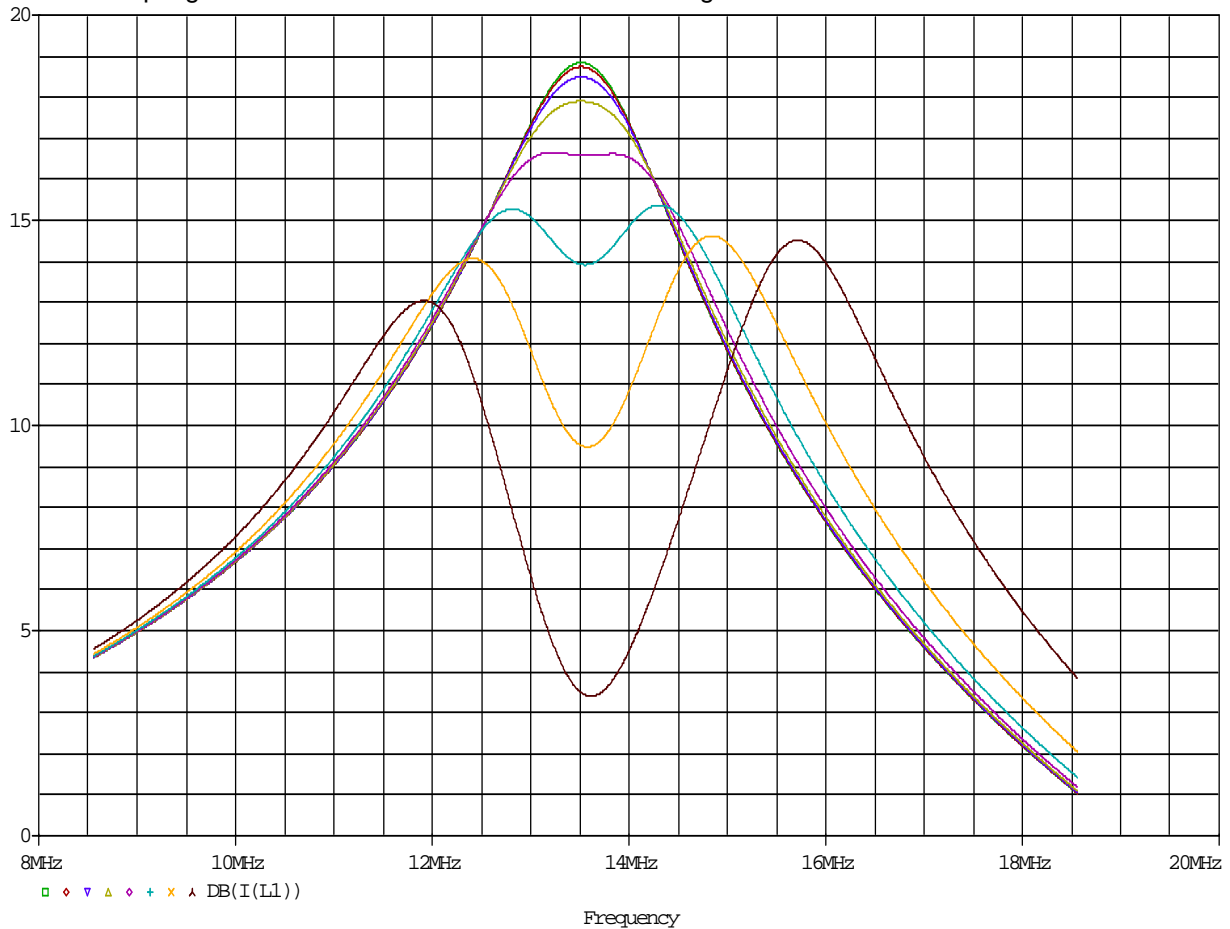
If the Devil were benevolent, we should have the following result:



However, the lord of darkness is not kind with us, and, this is what we get:



Now, we can see the action of the coupling factor. Instead of having a nice single peak in the frequency response, we have two bumps. One corresponds to the tag tuned circuit, and the other to the base station antenna. It looks now as if we had two different resonant frequencies, well separated. In fact, this very annoying effect is indeed what makes possible the design of a class of RF filters, made with cavities or helical resonators, slightly mistuned and carefully coupled to one another until the desired frequency response is obtained. In our case, we can imagine that this devilish effect will have serious consequences on the design of a usable RFID system. To begin with, let us have a look at a multiple run simulation, where the coupling factor is increased from 1% to 20% in a logarithmic fashion:

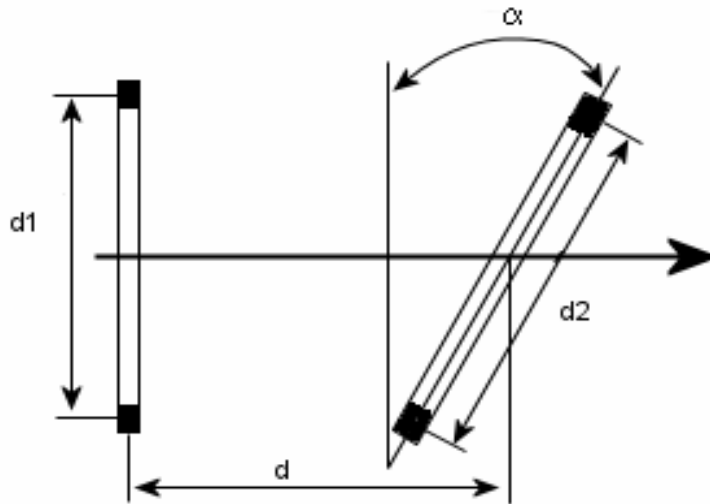


It is really obvious that, for a coupling factor higher than 10%, corresponding to the second largest frequency spreading illustrated on the graph above, the tag and the base station will have great difficulties to communicate with one another. And the paradox is that such communication problems will arise in a situation where intuition dictates the opposite, that is, when the tag and the base station are close to each other. We must try to avoid this situation. The good news is that careful system design may enable us to find a cooler place in the inferno, where the heat will be low enough so as to spare our delicate skin...We also must remember that if the coupling factor is too low, no energy transfer will be possible, and the system will not work. So our foe is also our friend within reasonable limits.

We now understand why it is not possible to design an RFID system by considering only one side of the problem, either the tag or the base station. Because of the coupling factor, we must consider *both* sides at once.

### 3.2.1 How to calculate the coupling factor:

The nice thing is that the coupling factor depends only on geometrical parameters. The inductance values, the coils number of turns, are not involved. Let us consider the following oversimplified representation of a base station antenna coil and a tag antenna coil:



On the left, we have the base station antenna coil, with a diameter  $d1=2 \times r1$ . On the right, we have the tag antenna coil, with a diameter  $d2=2 \times r2$ , tilted along the axis by an angle  $\alpha$ . The coupling factor can be expressed as:

$$k = \frac{r1^2 \times r2^2 \times \cos(\alpha)}{\sqrt{r1 \times r2} \times (r1^2 + d^2)^{\frac{3}{2}}}$$

Of course, this equation is valid only for circular coils, but the design guidelines we are going to infer from it are valid whatever the shape.

From the equation above, it is obvious that the coupling factor will be maximum when  $r1 = r2$ .

### 3.2.2 Getting out of the inferno:

In order to avoid a situation where the coupling factor would be too high, we can use different strategies:

We can design a system where the base station antenna is much larger than the tag coil. This is generally the case for long range systems.

For a short range system, where the sizes may be comparable, we must sacrifice the communication range to system reliability. This can be done by using a much lower Q for the tags. We can also deliberately mistune the tags.

The most critical situation will be for medium range systems, where antenna sizes are not sufficiently different to prevent coupling factor frequency spreading at short range. In that case, one is advised to maintain, by means of a physical obstacle, a minimum distance between the tag and the antenna in order to maintain the system in a functional state. Also, the output power stage driving the base station antenna MUST be resistant to mismatch. Even medium power stages can die quickly if they do not see the right load.

However, for short and medium range systems, an angel comes to our rescue and will mitigate the devil's actions. All tags have a maximal power supply voltage. To protect the chip from an over voltage condition, the chip manufacturers usually place a pair of zener diodes that will start to conduct before the limit is reached in parallel with the coil inputs. When the diodes are placed in strong conduction, they effectively completely destroy the tag Q factor. This is very important because, in most cases, the coupling factor's deleterious effects are sufficiently alleviated to maintain the system in a functional state.